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JG10 Rec'd PCT/PTO 02 OCT 2001

PCT #4

PATENT

Customer No. 22,852

Attorney Docket No. 08256.0148

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Steffen SCHMID

Application No.: 09/868,874

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Group Art Unit: Not Assigned

Examiner: Not Assigned

Filed: June 22, 2001

National Stage of International Application
No. PCT/EP99/10039

For: ACOUSTO-OPTICAL DEVICE

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Box PCT

Commissioner for Patents and Trademarks
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449 and cited in the international search report. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
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Dated: October 2, 2001

By: 

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